

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

THIS PAGE BLANK (USPTO)

(12) UK Patent Application (19) GB (11) 2 286 530 (13) A

(43) Date of A Publication 23.08.1995

(21) Application No 9502787.6

(22) Date of Filing 14.02.1995

(30) Priority Data

(31) 9403153

(32) 12.02.1994

(33) GB

(71) Applicant(s)

Ciba-Geigy AG

(Incorporated in Switzerland)

Klybeckstrasse 141, CH-4002 Basle, Switzerland

(72) Inventor(s)

Peter Fankhauser

Thomas Maier

(74) Agent and/or Address for Service

Thomas Sharman

Ciba-Geigy plc, Patent Department, Central Research,
Hulley Road, MACCLESFIELD, Cheshire, SK10 2NX,
United Kingdom

(51) INT CL⁶

A61K 7/00

(52) UK CL (Edition N)

A5B BFA BFC BFH BKE B23X B23Y

U1S S2416

(56) Documents Cited

GB 2176795 A WO 90/12106 A1 US 5223491 A

US 5158772 A

Japio No. 03464823 & JP 030127723A

WPI Abstract Accession No. 91-306708/42 & JP

030204804A WPI Abstract Accession No.

91-256625/35 & JP 030167109A

(58) Field of Search

UK CL (Edition N) A5B BFA BFC BFH BJC BKE

INT CL⁶ A61K 7/06 7/16 7/48 31/715 47/36

Online: WPI, CLAIMS, CAS ONLINE, JAPIO

(54) Cosmetic composition

(57) Cosmetic compositions contain

a cosmetically acceptable carrier; and

0.05 to 3.0 % by weight, based on the weight of the total composition, of a β -1,3-glucan having a mean molecular weight of 10^5 to 10.10^6 .

A massage cream and eye preparation are exemplified. Other utility is in conditioners, shampoos, skin care formulations, dentifrices, mucosal lubricants etc.

GB 2 286 530 A

Cosmetic Compositions

The present invention relates to cosmetic compositions and, in particular, to such compositions containing a glucan as an active ingredient.

In GB-A-2 050 825 there is described a skin cosmetic composition of the oil-in-water type, comprising an emulsifying agent, an oil and water, the emulsifying agent being composed of a) at least one specified glycyrrhizic compound and b) at least one water-soluble polysaccharide selected from pectin, karaya gum, locust bean gum and xanthan gum.

The polysaccharides used in GB-A-2 050 825 have certain disadvantages, namely that they contain acidic groups, rendering them sensitive to salt formation and/or variations in pH, as well as a lack of stability over an adequate temperature range.

In JP 030167109 there is described a cosmetic material containing a β -1,3-glucan having a mean molecular weight greater than $10 \cdot 10^6$. β -1,3-glucans having a mean molecular weight greater than $10 \cdot 10^6$, however, are of poor aspect, and their molecular weight cannot be determined using the conventional light scattering method.

It has now been found that certain glucans are useful as active ingredients and as excipients in cosmetic compositions, without the disadvantages associated with the polysaccharides used in GB-A-2 050 825 or with the β -1,3-glucans of JP 030167109. Moreover, the glucans used in the present compositions, on drying, form flexible films which, although insoluble in water, swell readily therein. This ability to form films represents an added advantage for the use of these glucans in cosmetic formulations.

Accordingly, the present invention provides a cosmetic composition comprising:

- A) a cosmetically acceptable carrier; and
- B) 0.05 to 3.0, preferably 0.2 to 1.0 % by weight, based on the weight of the total composition, of a β -1,3-glucan having a mean molecular weight of 10^5 to $10 \cdot 10^6$, preferably 2 to $10 \cdot 10^6$.

The cosmetic composition may constitute, e.g., a shampoo and/or hair conditioner composition, in which the glucan component B) may perform one or more of the following functions:

- i) effect an improvement in the combability of hair treated with the shampoo/conditioner;
- ii) effect an improvement in the dispersion of other components in the shampoo/conditioner;
- iii) act as a smoothing agent for hair treated with the shampoo/conditioner; and
- iv) effect an improvement in the level of fixing of such additives as dyes or UV absorbers in the shampoo/conditioner.

The cosmetic composition according to the present invention may also constitute a skin care composition, e.g., an emulsion or cream in which the glucan may perform one or more of the following functions:

- i) effect a lubricating function, thereby facilitating the spreading of the composition on the skin;
- ii) act as a film-forming agent, thereby providing a protective film on the skin, which film, while almost undetectable by touching, provides the skin with a silky feel;
- iii) effect a smoothing of the skin by reducing the scaling of the outermost layer of stratum corneum;
- iv) effect an improvement in the dispersion of other components of the skin care composition; and
- v) act as an emulsifier or co-emulsifier for the skin care composition.

The skin care composition may be formulated as an aqueous lotion, a water-in-oil or an oil-in-water emulsion, an oil or oil-alcohol lotion, a vesicular dispersion of anionic or nonionic amphiphilic lipids, an aqueous, aqueous-alcohol, alcohol or oil-alcohol gel, a solid stick or an aerosol formulation.

When formulated as a water-in-oil or an oil-in-water emulsion, the cosmetically acceptable carrier A) preferably comprises 5 to 50 % of an oil phase; and 47 to 94.95 % of water, each based on the total weight of the composition.

The oil phase may comprise any oil, or mixture thereof, which is known to be suitable for use in cosmetic compositions.

Examples of such oils include aliphatic hydrocarbons such as liquid paraffin, squalane, vaseline and ceresin; vegetable oils such as olive oil, almond oil, sesame oil, avocado oil, castor oil, cacao butter and palm oil; animal oils such shark liver oil, cod liver oil, whale oil, beef tallow and butter fat; waxes including bees wax, carnauba wax, spermaceti and

lanolin; fatty acids such as lauric acid, myristic acid, , palmitic acid, stearic acid, oleic acid and behenic acid; aliphatic alcohols such as lauryl alcohol, stearyl alcohol, cetyl alcohol and oleyl alcohol; and aliphatic esters such as isopropyl-, isocetyl- or octadecyl myristate, butyl stearate, hexyl laurate, diisopropyl adipate or diisopropyl sebacate.

Preferred mono- or polyols, for use in an oil-alcohol lotion, or a an oil-alcohol or alcohol gel, include ethanol, isopropanol, propylene glycol, hexylene glycol, glycerine and sorbitol.

When the β -1,3-glucan is used as a co-emulsifier, the other emulsifier used may be any emulsifier conventionally used in cosmetic formulations e.g., one or more of an ethoxylated ester of a natural oil derivative such as a polyethoxylated ester of hydrogenated castor oil; a silicone oil emulsifier such as a silicone polyol; an optionally ethoxylated fatty acid soap; an ethoxylated fatty alcohol; an optionally ethoxylated sorbitan ester; an ethoxylated fatty acid ; or an ethoxylated glyceride.

The cosmetic composition according to the present invention may also constitute an oral care preparation, e.g., a dental gel, a denture fixation aid, a tooth paste , a mucosal lubricant formulation such as a vaginal cream or gel, or an ophthalmological preparation such as eye drops, in which the glucan component B) may perform one or more of the following functions:

- i) effect lubrication of dry mucosae;
- ii) effect thickening of liquid preparations;
- iii) effect retention of active ingredients by formation of films on mucosal surfaces; and
- iv) effect an improvement in the dispersion of other components in the composition.

When the β -1,3-glucan is used in an ophthalmological preparation, it may be used together with other components such as:

- a) ophthalmological active ingredients e.g. Gentamicin sulphate, Lomefloxacin hydrochloride, Chloramphenicol, Sodium Diclofenac, Potassium Diclofenac, Dexamethason di-sodium phosphate, Naphazolin nitrate, Tetrazylin hydrochloride, Antazolin hydrochloride, Antazolin sulphate, Pilocarpin chloride, Vitamin A-palmitate and zinc sulphate;
- b) ophthalmological buffers such as boric acid, borax, acetic acid, sodium acetate, phosphoric acid, sodium dihydrogen phosphate, disodium hydrogen phosphate, sodium

phosphate, Trometamol, citric acid and sodium citrate;

c) ophthalmological preservatives such as benzyl alkylammonium chloride, benzoxonium chloride, chlorhexidine digluconate, chlorobutanol, phenylethyl alcohol and Thiomersal;

d) solvents such as ethanol, glycerol, polyethylene glycol and water;

e) solution aids such as Cremophor EL, Cremophor RH, Tween 20 and Tween 80;

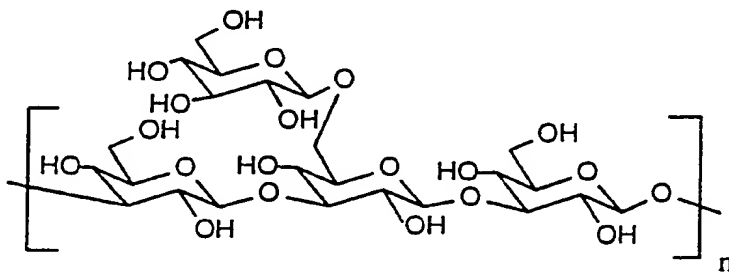
f) isotonicising agents such as sodium chloride, mannitol and sorbitol,

g) chelate formers such as disodium EDTA;

h) antioxidants such as α -tocopherol acetate, ascorbic acid, N-acetyl-cystine, sodium bisulphite, sodium thiosulphate and propyl gallate; and

i) viscosity-increasing compounds such as methylhydroxypropyl cellulose, Carbopol 934 P, Carbopol 940, Carbopol 980 and Polaxomer F 127.

The β -1,3-glucan component of the cosmetic composition of the present invention has the structural formula:



in which n is a number which provides the β -1,3-glucan component with a mean molecular weight (MW) of 10^5 to $10 \cdot 10^6$, preferably 2 to $10 \cdot 10^6$, determined from the readily measured Staudinger Index η using the following Mark-Houwink equation:

$$MW = [\eta / 4.45 \cdot 10^{-7}]^{1/1.49}$$

Preferably, a 0.3 g/l aqueous solution of the β -1,3-glucan has a glucose content below 0.1 g/l and a viscosity of 50 to 190 mPa.s, measured at a shear rate of 0.3 s^{-1} at 40°C .

The β -1,3-glucan may be produced by any conventional method for the production of a high-molecular, uncharged homopolysaccharide, in particular such a known method using microorganisms.

One preferred method is that described in EP-A-504 673. In this specification, there is described a process for the extra-cellular production of homopolysaccharides of molecular weight ranging from: 5 to $25 \cdot 10^6$, having only β -1,3-D-glucopyranose units in the main chain, each third unit of which is β -1,6-glycosidically bonded with a further glucose unit. The process is characterized in that microorganisms, in the form of at least one of the fungal strains DSM 6318, DSM 6319 and DSM 6320 is cultivated in a culture medium, with aeration and agitation, at 15 to 40°C.; the culture solution is then separated from the mass of cells; and the water-soluble homopolysaccharide so obtained is isolated in conventional manner.

The cosmetic composition of the invention may also comprise further components which are known to perform a useful function in a cosmetic composition. Examples of such further components include, e.g., emollients, skin moisturisers, UV absorbers such as an oxanilide, a triazine or triazole, additional thickening agents such as xanthan, moisture-retention agents such as glycerine, film formers, preservatives, perfumes and colourants.

The following Examples further illustrate the present invention.

Example 1

A) Cross-infection of the protoclone B-strain with the monokaryotic strain *S.commune* ATCC 36481 giving *S.commune* DSM 6320

Using the procedure described in Example 1 of EP-A-504 673, the cross-infection is effected in Petri dishes, in the middle of which a piece of the micelle of both strains is inoculated at a separation of about 1 cm. The composition of the agar medium corresponds to the complex medium for immersion cultivation (see part B) with an additional 15 g/l of agar. The incubation is effected at room temperature in daylight. As soon as the first spores are visible, after about 10 to 14 days, a stock solution is established from the new dikaryon.

B) Production of glucan component

The new dikaryon DSM 6320, is then immersion cultivated in a 1 litre Erlenmeyer flask in a medium comprising 33g/l glucose.H₂O, 3 g/l technical yeast extract, 1 g/l KH₂PO₄ and

0.5 g/l $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$.

The product obtained after 120 hours has a micelle dry mass (g/l) of 2.5, contains 12.0 g/l of polysaccharide (PS) having a mean molecular weight of $4 \cdot 10^6$ and has a $Y_{\text{PS/S}}$ value (product yield coefficient - g PS/g consumed substrate) of 0.4.

The following Table contains data relating to various physical properties of a β -1,3-glucan used in a cosmetic composition according to the present invention. The respective β -1,3-glucans of mean molecular weight $6 \cdot 10^6$, $1 \cdot 10^6$ or $0.1 \cdot 10^6$ are produced in a manner similar to that set out in Example 1. For the purposes of comparison, the Table also contains data relating a β -1,3-glucan having a mean molecular weight greater than $10 \cdot 10^6$ according to JP 030167109.

mol. wt.	$15 \cdot 10^6$	$12 \cdot 10^6$	$6 \cdot 10^6$	$4 \cdot 10^6$	$1 \cdot 10^6$	$0.1 \cdot 10^6$
viscosity at 0.5g/l and 0.062/s	1422	1079	948	875	755	688
aspect	opaque	opaque	clear	clear	clear	clear
light scattering aspect	microgel	microgel	microgel free	microgel free	microgel free	microgel free
organoleptic feel	gritty	gritty	smooth non-tacky	smooth non-tacky	smooth non-tacky	smooth non-tacky
film forming property	stable	stable	stable	stable	stable	-

The distinct and superior viscosity, aspect, light-scattering aspect and organoleptic feel properties of a β -1,3-glucan used in a cosmetic composition according to the present invention, relative to a β -1,3-glucan having a mean molecular weight greater than $10 \cdot 10^6$ according to JP 030167109, are clear.

Example 2

A massage cream is formulated from the following ingredients:

2 % bees wax
45 % liquid paraffin
3 % cetyl alcohol
2.5 % pectin (mol. weight 100,000)
46.5 % deionised water
0.2 % methylparaben
0.5 % glucan from Example 1 and
0.3 % perfume

each by weight, based on the total weight of the cream.

A first solution is prepared by homogeneously dissolving the pectin, methylparaben and glucan in the deionised water at 80°C. A second solution is produced by melting the bees wax, liquid paraffin and cetyl alcohol by heating the mixture to 80°C. While the first solution is stirred in a homomixer, the second solution is added to it and dispersed in it. The resulting emulsion is allowed to cool and, on reaching 70°C., the perfume is added. Stirring is stopped once the temperature falls to 30°C. The oil-in-water form massage cream so obtained has good texture and gloss and is stable at 2-60°C. over 6 months.

Example 3

An aqueous ophthalmological preparation is formulated from the following ingredients:

1 mg Glucan from Example 1
1 mg Sodium Diclofenac
50 mg Solution aid (Cremophor EL)
6 mg Ophthalmological buffer (Trometamol)
19 mg Boric acid
0.04 mg Ophthalmological preservative (Thiomersal)
Water for injection purposes to 1.00 ml.

Claims:

1. A cosmetic composition comprising:
 - A) a cosmetically acceptable carrier; and
 - B) 0.05 to 3.0 % by weight, based on the weight of the total composition, of a β -1,3-glucan having a mean molecular weight of 10^5 to 10.10^6 .
2. A composition according to claim 1 comprising:
 - A) a cosmetically acceptable carrier; and
 - B) 0.2 to 1.0 % by weight, based on the weight of the total composition, of a β -1,3-glucan having a mean molecular weight of 2 to 10.10^6 .
3. A composition according to either of the preceding claims in which the composition constitutes a shampoo and/or hair conditioner composition.
4. A composition according to either of claims 1 and 2 in which the composition is a skin care composition.
5. A composition according to claim 4 in which the skin care composition is formulated as an aqueous lotion, a water-in-oil or an oil-in-water emulsion, an oil or oil-alcohol lotion, a vesicular dispersion of an anionic or nonionic amphiphilic lipid, an aqueous, aqueous-alcohol, alcohol or oil-alcohol gel, a solid stick or an aerosol.
6. A composition according to claim 5 in which, in a water-in-oil or an oil-in-water emulsion, the cosmetically acceptable carrier A) comprises 5 to 50 % of an oil phase and 47 to 94.95 % of water, each based on the total weight of the composition.
7. A composition according to claim 6 in which the oil phase is an aliphatic hydrocarbon; a vegetable oil; an animal oil; a wax; a fatty acid; an aliphatic alcohol; or an aliphatic ester.
8. A composition according to claim 7 in which the aliphatic hydrocarbon is a liquid paraffin, squalane, vaseline or ceresin; the vegetable oil is olive oil, almond oil, sesame oil, avocado oil, castor oil, cacao butter or palm oil; the animal oil is shark liver oil, cod liver oil, whale oil, beef tallow or butter fat; the wax is bees wax, carnauba wax,

spermaceti or lanolin; the fatty acid is lauric acid, myristic acid, palmitic acid, stearic acid, oleic acid or behenic acid; the aliphatic alcohol is lauryl alcohol, stearyl alcohol, cetyl alcohol or oleyl alcohol; and the aliphatic ester is isopropyl-, isoceryl- or octadecyl myristate, butyl stearate, hexyl laurate, diisopropyl adipate or diisopropyl sebacate.

9. A composition according to any of claims 4 to 8 in which the β -1,3-glucan functions as a co-emulsifier for the composition and the other emulsifier used is one or more of an ethoxylated ester of a natural oil derivative; a silicone oil emulsifier; an optionally ethoxylated fatty acid soap; an ethoxylated fatty alcohol; an optionally ethoxylated sorbitan ester; an ethoxylated fatty acid ; or an ethoxylated glyceride.

10. A composition according to claim 9 in which the ethoxylated ester of a natural oil derivative is a polyethoxylated ester of hydrogenated castor oil; and the silicone oil emulsifier is a silicone polyol.

11. A composition according to either of claims 1 and 2 in which the composition constitutes an oral care preparation.

12. A composition according to claim 11 in which the oral care preparation is formulated as a dental gel, a denture fixation aid, a tooth paste , a mucosal lubricant formulation or an ophthalmological preparation.

13. A composition according to claim 12 in which the mucosal lubricant formulation is a vaginal cream or gel.

14. A composition according to claim 12 in which the ophthalmological preparation is an eye drops preparation.

15. A composition according to any of the preceding claims in which the β -1,3-glucan is produced by a process for the extra-cellular production of homopolysaccharides of molecular weight ranging from 10^5 to $10 \cdot 10^6$, having only β -1,3-D-glucopyranose units in the main chain, each third unit of which is β -1,6-glycosidically bonded with a further glucose unit, characterized in that microorganisms, in the form of at least one of the fungal strains DSM 6318, DSM 6319 and DSM 6320 is cultivated in a culture medium, with aeration and agitation, at 15 to 40°C.; the culture solution is then separated from the mass of cells; and the water-soluble homopolysaccharide so obtained is isolated in conventional

manner.

16. A composition according to any of the preceding claims in which the composition also comprises one or more of emollients, skin moisturisers, UV absorbers, additional thickening agents, moisture-retention agents, film formers, preservatives, perfumes and colourants.

17. A composition according to claim 16 in which the UV absorber is an oxanilide, a triazine or triazole, the additional thickening agent is xanthan and the moisture-retention agent is glycerine.

Relevant Technical Fields

- (i) UK CI (Ed.N) A5B (BFA, BFC, BFH, BJC, BKE)
(ii) Int CI (Ed.6) A61K 7/06, 7/16, 7/48, 47/36, 31/715

Databases (see below)

(i) UK Patent Office collections of GB, EP, WO and US patent specifications.

(ii) ONLINE: WPI, CLAIMS, CAS ONLINE, JAPIO

Search Examiner
M R WENDT

Date of completion of Search
15 MAY 1995

Documents considered relevant
following a search in respect of
Claims :-
1-17

Categories of documents

- X:** Document indicating lack of novelty or of inventive step. **P:** Document published on or after the declared priority date but before the filing date of the present application.
Y: Document indicating lack of inventive step if combined with one or more other documents of the same category. **E:** Patent document published on or after, but with priority date earlier than, the filing date of the present application.
A: Document indicating technological background and/or state of the art. **&:** Member of the same patent family; corresponding document.

Category	Identity of document and relevant passages	Relevant to claim(s)
A	GB 2176795 A (FMC) Claim 1 page 6 lines 19-17	1,12
X	WO 90/12106 A1 (SRI) (& US 5084386) page 6 lines 1-17, page 1 lines 16-18, Claim 10	1
X	US 5223491 (DONZIS) see column 2 line 66 to column 4 line 2	1,4,11,12 and 14
X	US 5158772 (DAVIS) see Claims 1-6, column 7 lines 5-15, column 9 line 3 etc, Examples	1,2,4
X	JAPIO No 03464823 & JP 030127723 A (KANEBO) see Abstract	1,2,4
X	WPI Abstract Accession No 91-306708/42 & JP 030204804 A (MITSUI) see abstract	1,2,4
X	WPI Abstract Accession No 91-256625/35 & JP 030167109 A (KANEBO) see abstract	1,2,4

Databases: The UK Patent Office database comprises classified collections of GB, EP, WO and US patent specifications as outlined periodically in the Official Journal (Patents). The on-line databases considered for search are also listed periodically in the Official Journal (Patents).

THIS PAGE BLANK (USPTO)